



# USA SWIMMING

## RULES & REGULATIONS COMMITTEE

### ADOPTED AMENDMENTS TO 2001 USA SWIMMING RULES & REGULATIONS

Working Copy: Unofficial/ Believed to be Accurate  
Version: October 4, 2001

R- 1 ACTION: Adopted

Location: Page 11 GLOSSARY

**CONFORMING TIME** -- qualifying time standard that corresponds to the ~~course that will be competed in a meet~~ meet competition course.

**EX OFFICIO** -- a member with full rights, ~~including vote of membership~~ unless otherwise limited by USA Swimming, ~~but~~ ex officio members cannot be counted to establish a quorum.

**STILL WATER** -- water contained within four walls, or landlocked ~~which~~ and has no perceptible current or movement other than that caused by wind or by other swimmers. (Circulatory systems should be off during competition.)

**TIME STANDARD** -- the time ~~standard for any event in a meet is the cut-off time for that event~~ a swimmer must have previously achieved in order to compete in that event at any designated competition.

R- 2 ACTION: Adopted

Location: Page 17 PART ONE - TECHNICAL RULES

*Revise first and third paragraphs of introduction as follows:*

All competitive swimming events held under USA Swimming sanction shall be conducted in accordance with the following rules ~~which~~ that are designed ..... (no further change)

*2<sup>nd</sup> paragraph: no change*

It is not the purpose of the Rules and Regulations of USA Swimming (the national governing body) contained herein to set standards of care for the safety of the swimmer. ~~Safety considerations should be addressed by~~ The swimmer, the swim coach, the swim club and the local public entity or pool owner should address safety considerations where events are held.

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R – 5 ACTION: Adopted/Amended

Location: Page 30 102.13 REFEREE

**102.13. Referee**

- .1 Shall have full authority over all officials ..... which the Referee has personally observed; ~~shall also disqualify a swimmer(s) for any violations of the rules that the Referee personally observes and shall at the same time raise one hand overhead. If the referee does not make such a signal there shall be no penalty.~~
- .2 [New] The Referee has the authority to disqualify a swimmer(s) for any violation of the rules that the Referee personally observes and, except for false starts, shall at the same time raise one hand overhead. If the Referee does not make such a signal there shall be no penalty.

*Existing Sections 2 through 9 to be renumbered 3 through 10, respectively.]*

R – 7 ACTION: Adopted/Amended

Location: Page 31 102.14 STARTER

**102.14. STARTER**

- .5 False Starts
  - A Any swimmer starting before the starting signal is given shall be disqualified if the Referee ~~or a designated Assistant Referee~~ independently observes and confirms the Starter's observation that a violation occurred. Swimmers remaining on the starting blocks ..... *[no further change]*
  - B If the starting signal ~~has been given is sounded~~ before the disqualification is declared, the race shall continue without recall ~~and the swimmer or swimmers who have false started shall be disqualified by the Starter with the concurrence of the Referee or a designated Assistant Referee~~ If the Referee independently observes and confirms the Starter's observation that a violation occurred, the swimmer or swimmers who have false started shall be notified of the disqualification upon completion of the race.

R – 8 ACTION: Adopted

Location: Page 31 102.14 STARTER

**102.14 STARTER**

- .5 Deliberate Delay or Misconduct
  - A. (no change)
  - B. The Referee shall disqualify ~~A~~ a swimmer who fails to appear at the starting platform ready to swim in time for the initial start of his/her heat ~~shall be disqualified by the Referee.~~

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R – 9 ACTION: Adopted

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Location: Page 39 102.18 MARSHALS

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**102.18 MARSHALS** -- Shall wear identifying attire and enforce warm-up procedures and maintain order in the swimming venue. ....[No further changes in section]

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R – 10 ACTION: Adopted/Amended **EFFECTIVE NOVEMBER 1, 2001**

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Location: Page 41 ARTICLE 103 FACILITIES STANDARDS

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**103.1 DEFINITIONS** [no change]

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**103.2 WATER DEPTH** [was part of 103.2.3 Racing Course Dimensions/ now a separate section]

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~~.1A~~ /NC/ 2 meters (6 feet 7 inches) deep throughout the course.

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~~.2~~ /M/ **Teaching Racing Starts** - Minimum water depth for teaching racing starts in any setting from any height starting blocks or the deck shall be 5 feet (1.53 meters) measured for a distance of 3 feet 3 ½ inches (1.0 meter) to 16 feet 5 inches (5.0 meters) from the end wall.

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~~.3B~~ /M/ **Racing Starts** - Minimum water depth for racing starts during practice and competition ~~and practice~~ shall be measured for a distance 3' 3 ½" (1.0 meter) to 16' 5" (5.0 meters) from the end wall. Starting requirements and height of starting blocks shall be:

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~~A(1)~~ In pools with water depth less than 3' 6" (1.07 meter) at the starting end, the swimmer must start from within the water;

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~~B(2)~~ In pools with water depth 3' 6" (1.07 meter) to less than 4' (1.22 meter) at the starting end, starting platforms shall be no more than 18" (0.46 meter) above the water surface;

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~~C(3)~~ In pools with water depth 4' (1.22 meter) or more at the starting end, starting platforms shall meet the height requirements of Section 103.11.1.

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**Note:** Local, state and municipal statutes, ordinances, rules and regulations may have depth limitations in conflict with this Section ~~103.2.3~~. The LSC and all Member clubs should check for this at all times.

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**103.23 RACING COURSE DIMENSIONS** –

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.1 /M/ **Length** [no change]

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.2 **Width** [no change]

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**103.34 /M/ RACING COURSE WALLS** – [no change]

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[No change to rest of article, other than re-numbering]

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R – 11 ACTION: Adopted

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Location: Page 46 104.2 USA SWIMMING RECORDS

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**104.2.1**

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F *[fourth sentence]* .... The requirement to win the heat does not apply:

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(1) when age or representation is a condition for setting LSC or Age Group records; ~~or~~

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(2) to a U.S. citizen setting an American record in competition with non-U.S. citizens; ~~or~~

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(3) when achieved as ~~in~~ the lead-off leg in a relay race or at an initial distance; or

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(4) when swimmers with mixed disability classifications compete together or with other swimmers.

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**104.2.2**

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B (3) Long Course -- Made only over courses 55 yards or 50 meters long.

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Freestyle .....50-100-200-400-800-1500 meters

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Backstroke ..... 50-100-200 meters

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Breaststroke ..... 50-100-200 meters

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Butterfly ..... 50-100-200 meters

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Ind. Medley ..... 150\*-200-400 meters

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Medley Relay ..... 200-400 meters

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Freestyle Relay ..... 200-400-800 meters

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Note: Distance with an \* is applicable *only* to certain functional classifications of swimmers with a disability.

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**104.2.2**

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(2) When a record is claimed, an official ..... from the automatic officiating equipment, to the ~~Executive Director~~ appropriate Records Coordinator. Forms must be forwarded to the ~~Executive Director~~ appropriate Records Coordinator within 14 days after the performance.

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**104.2.3 [New] American Records for Swimmers with a Disability.**

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A. Requirements - all provisions of 104.2.1 and 104.2.2 shall apply.

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B. Meet Status - The meet must be either a USA Swimming Sanctioned meet, and comply with all USA Swimming rules and regulations including Level I timing equipment; or a USA Swimming Approved Championship meet and comply with all conditions for "Approval" as well as the conditions for Observed swims in 202.5 and Level I timing.

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C. Athlete Classification - Swimmers shall be required to submit proof of their Functional Classification. Records will be considered as pending until classification is verified.

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D. Submission - Application forms should be requested in advance from USA Swimming. The forms must be completed and the required inclusions attached and sent to the Records Coordinator for Swimmers with a Disability.

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*[Existing sections 104.2.3 thru .6 to be renumbered 104.2.4 thru .7]*

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R – 12 ACTION: Adopted

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Location: Page 62 ARTICLE 203 REPRESENTATION

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**203.9**

.1 A swimmer who is a member of another Federation may compete in USA Swimming sanctioned competition with written permission of his/her Federation to do so. Except as provided in Sections 207.9.13 and 207.9.14, the swimmer may swim for a USA Swimming club or team with the consent of the swimmer's Federation, in which event the swimmer shall remain a member of the swimmer's Federation, under its control and supervision. The swimmer shall register with USA Swimming, and be subject to the Rules and Regulations of USA Swimming (including specifically Article 303 of the Code. ~~One hundred and twenty (120) consecutive days must have elapsed without the swimmer having participated in competition for any other organization within the foreign Federation other than the Federation itself.~~

.2 An athlete who has competed for an organization within a foreign federation must provide official documentation from that federation confirming his/her last day representing that organization in competition. One hundred and twenty (120) consecutive days must elapse after a swimmer represents any organization before he/she can represent a USA Swimming club. If documentation is not provided at the time of registration, the one hundred and twenty (120) days will commence from the date of registration.

R – 17 ACTION: Adopted **EFFECTIVE JANUARY 1, 2002**

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Location: Page 66 206.1 GENERAL

**206.1. GENERAL** -- USA Swimming Championships shall be conducted annually. There shall be one ~~short~~ long course meters meet in the spring and one long course meters meet in the summer.

R – 18 ACTION: Adopted **EFFECTIVE JANUARY 1, 2003**

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Location: Page 66 206.4 DATES

**206.4 DATES** – Unless otherwise determined and announced by the National Team Director at the previous year's convention, the dates shall be as follows:

.1 ~~Spring National Championships – to end the first Saturday on or after March 22~~ start the Tuesday following the fourth Thursday of March;

R – 19 ACTION: Adopted **EFFECTIVE JANUARY 1, 2003**

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Location: Page 66 206.4 DATES

**206.4 DATES** -- Unless otherwise determined and announced by the National Team Director at the previous year's convention, the dates shall be as follows:

.2 Summer National Championships -- To end no later than the ~~third~~ second Saturday in August;

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R – 23 ACTION: Adopted

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Location: Page 70 207.5 ADMINISTRATIVE CONDUCT OF USA SWIMMING CHAMPIONSHIPS

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228 **207.5**

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.7 Eligibility Protests

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A There shall be an Eligibility Jury of three persons appointed ~~by the national registration committee chairman~~  
by the Program Operations Vice President or designee prior to the start of the meet, in attendance at every .....  
The Eligibility Jury shall consist of an athlete, a coach and an official.

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B All protests made prior to or during the championship shall be submitted to the Eligibility Jury on a form  
prescribed by ~~the registration committee~~ USA Swimming and accompanied by ... .

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R – 24 ACTION: Adopted

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Location: Page 70 207.5 ADMINISTRATIVE CONDUCT OF USA SWIMMING CHAMPIONSHIPS

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**207.5.**

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.8 **Technical Rules Protests** – Prior to the start of the meet the ~~National Events Coordinator~~ Program Operations Vice-  
President or designee shall appoint a Technical Jury composed of at least one athlete representative ~~from a slate~~  
~~provided by the Athletes Committee~~, at least one coach, and at least one, .....

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R – 25 ACTION: Adopted

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Location: Page 31 102.14 STARTER

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Page 71 207.5 ADMINISTRATIVE CONDUCT OF USA SWIMMING  
CHAMPIONSHIPS

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**102.13 STARTER**

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.5 False Starts

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A, B & C - no change. Add the following new subsection:

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D. Declared false start: Swimmers reporting to the Referee prior to the start of their race and declaring their intent  
not to compete will be disqualified, except as noted in 207.5.9D.

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**207.5**

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**.9 Scratch Procedures**

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D Scratching from finals:

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Any swimmer qualifying for a C, B or A (bonus and consolation final or ) final race in an individual event who  
fails to compete in said final shall be barred from further competition for the remainder of the meet, except as  
noted in paragraph E. A declared false start under 102.14.5D or deliberate delay of meet under 102.14.7A is not  
permitted and will be regarded as a failure to compete.

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R – 26 ACTION: Adopted/Amended

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Location: Page 72 207.5.12 SCORING

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**207.5.12 Scoring**

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E. For eighteen (18) & under combined team scoring at the USA Swimming National Championships, scoring will be calculated on the basis of the top 24 athletes, eighteen (18) & under, competing in each individual event. College or university teams competing at the Nationals shall not be eligible for this eighteen (18) & under team scoring.

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R – 27 ACTION: Adopted

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Location: Page 72 207.5.12 SCORING

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**207.5.12 Scoring**

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E For eighteen (18) & under combined team scoring at the USA Swimming National Championships, scoring will be calculated on the basis of the top 24 16 athletes, eighteen (18) & under, competing in each individual event.

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R – 28 ACTION: Adopted

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Location: Page 77 207.9 ENTRIES

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**207.9. ENTRIES**

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.3 In national ~~short course and long course~~ championships a swimmer may enter and compete, except as provided in 102.2.2, in any number of individual events in which he/she has met the qualifying ~~standard time standard, but may participate only in the number of individual events equivalent to the number of days in the meet program, i.e., three individual events and three relays in a three-day swimming program; four individual swimming events and three relays in a four-day swimming program; five individual swimming events and three relays in a five-day swimming program.~~

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R – 29 ACTION: Adopted

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Location: Page 77 207.9 ENTRIES

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**207.9.5 Entry times for individual and relay events shall:**

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*[No change to A, B, C or D]*

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E *[New]* Athletes who have qualified for the Olympic Trials within the current quadrennium in a specific event may swim that event in all National Championships and U.S. Open events preceding leading up to the next Olympic Trials, even if they have not re-qualified for the Nationals or U.S. Open in that specific event.

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R – 30 ACTION: Adopted

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Location: Page 79 207.10 QUALIFYING TIME STANDARDS

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**207.10.3**

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Program Operations shall approve at each annual meeting the qualifying time standards for all USA Swimming Nationals Championships, and U.S.A. Swimming Open meets ~~two (2)~~ one (1) years in advance.

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R – 31 ACTION: Adopted

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323

Location: Page 81 APPENDIX 2-A "STARS" Swimming Tracking and Recognition System

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**Times automatically entered into database** / Qualifying times achieved in competition or time trials at any of the above mentioned meets, plus the Olympic Games, World Championships, Pan Pacific Championships, Pan American Games, World University Games, National Junior Team meets, NCAA Division I Championships (observed swims ..... *[no further change in paragraph]*)

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R – 32 ACTION: Adopted

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Location: Pages 104–106 ARTICLE 303 ELIGIBILITY

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~~303.3. USA Swimming, as a member national governing body (NGB) of the United States Olympic Committee (USOC), and as a member Federation of the Federation Internationale de Natation (FINA), participates in the USOC National Anti-Doping Program and the FINA Doping Control Program. Both programs involve in-competition and out-of-competition testing of athletes. In order to implement USA Swimming's participation in USOC's National Anti-Doping Program, the USA Swimming Board of Directors is authorized to adopt rules regarding athletes' retirement and requiring selected athletes to advise USA Swimming of their current location for purposes of drug testing. Each athlete member of USA Swimming is obligated without reservation or condition to submit to all doping control policies and procedures adopted from time to time by USA Swimming, USOC or FINA. Any athlete who violates the doping control rules or policies of USA Swimming, USOC or FINA shall be subject to punitive action.~~

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~~303.4. In determining whether a doping offense has occurred and what sanctions should be imposed, USA Swimming will look to the following rules:~~

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~~1. The definition of 'doping' employed shall be the definition adopted by FINA effective at the time of testing. For purposes of illustration, that definition as of the adoption of this rule is as follows:~~

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~~FINA Rule DC 9.1: For the purpose of these rules, the following shall be regarded as "doping offenses:"~~

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~~(a) the finding in competitor's body tissue or fluids of a banned substance;~~

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~~(b) the use or taking advantage of banned techniques;~~

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~~(c) admitting having taken advantage of, or having used, a banned substance or a banned technique;~~

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~~(d) the failure or refusal of the competitor to submit to doping control;~~

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~~(e) assisting or encouraging others to use a banned substance or banned technique; or admitting having assisted or incited others;~~

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~~(f) trafficking, distributing or selling any banned substance.~~

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- ~~2~~ The doping substances and practices, which are banned, shall be the FINA list of banned substances and practices effective at the time of testing.
- ~~3~~ The sanctions shall be those adopted by FINA effective at the time of testing. For purposes of illustration, the applicable sanctions as of the adoption of this rule are as follows:
- FINA Rule DC 9.2: Sanctions shall include the following:
- (a) Anabolic androgenic steroids, growth hormones, and chemically or pharmacologically related compounds:
- First Offense: a minimum of four (4) years' suspension; plus a retroactive sanction involving cancellation of all results achieved in competitions within a period of up to six (6) months before the offense shall be imposed.
- Second Offense: lifetime expulsion; plus a retroactive sanction involving cancellation of all results achieved in competitions during the competitors' career shall be imposed. The finding in a competitor's body tissue or fluids of a banned substance listed in this DC 9.2 (a) shall constitute an offense, and the competitor shall be sanctioned in accordance with DC 9.2 (a), regardless of whether the competitor can establish that he or she did not knowingly ingest the banned substance.
- (b) Amphetamine related and other stimulants, diuretics, beta blockers, beta-2 antagonists and related substances:
- First Offense: up to two (2) years' suspension
- Second Offense: a minimum of two (2) years' suspension up to a lifetime expulsion.
- (c) Narcotic analgesics:
- First Offense: up to two (2) years' suspension
- Second Offense: up to lifetime expulsion
- (d) Ephedrine, phenylpropanolamine, caffeine (the level of caffeine must, however, be taken into consideration), cannabinoids (such as marijuana and hashish), and all other banned substances not otherwise set in DC 9.2 (a) through (c)
- First Offense: up to three (3) months' suspension
- Second Offense: three (3) months' to two (2) years' suspension
- Third Offense: two (2) years' suspension to lifetime expulsion.
- (e) Refusal to submit to doping control when requested shall be regarded as an offense with anabolic androgenic steroids, and sanctioned in accordance with DC 9.2(a).
- (f) For all other violations of these Rules related to Doping Control, sanctions may be imposed at the discretion of the Doping Panel.
- FINA Rule DC 9.3: The finding in a competitor's body tissue or fluids of a banned substance, or any of its metabolites, shall shift to the competitor the burden of establishing why he or she should not be sanctioned to the full extent provided for under DC 9.2.
- ~~4~~ The procedures and applicable standards employed with respect to the collection and transport of samples, notification of athletes, athletes' presence at testing, and specimen analysis shall be those set forth in the USOC's

413 ~~National Anti-Doping Program for tests conducted by the USOC and those set forth in FINA rules for tests~~  
414 ~~conducted by FINA.~~  
415

416 303.3. As a member National Governing Body of the United States Olympic Committee (USOC) and as a member  
417 Federation of Federation Internationale de Natation Amateur (FINA), USA Swimming is obligated to adhere to the anti-  
418 doping rules of the USOC and FINA. In addition, USOC Bylaw Chapter XXIII, Section 2(G) provides that, as a condition  
419 of membership in the USOC, each National Governing Body shall comply with the procedures pertaining to drug testing  
420 and adjudication of related doping offenses of the independent anti-doping organization designated by the USOC to  
421 conduct drug testing. The USOC has designated the United States Anti-Doping Agency ("USADA") as that  
422 organization. The current anti-doping rules of the USOC, FINA and USADA are available at the offices of USA  
423 Swimming or on line at: [www.usantidoping.org](http://www.usantidoping.org) and [www.fina.org](http://www.fina.org).  
424

425 As a condition of membership in USA Swimming, it is the responsibility of each athlete member of USA Swimming to  
426 comply with the anti-doping rules of the FINA, USOC and USADA and to submit, without reservation or condition, to in-  
427 competition and out-of-competition doping controls conducted by either the FINA or USADA. (Out-of-competition  
428 doping controls by USADA may take place at USA Swimming elite-level camps, training sessions at USOC facilities, or no  
429 advance notice any time for athletes designated by USA Swimming and USADA for inclusion in USA Swimming's no  
430 advance notice testing pool.)  
431

432 Pursuant to USOC Bylaw Chapter XXIII, Section 2(G), the management of positive and elevated test results (post October  
433 2, 2000) for USA Swimming athletes has become the responsibility of USADA. Any inconsistent provisions elsewhere in  
434 USA Swimming rules are hereby superseded. USA Swimming will, without further process, enforce and publish any  
435 sanction communicated to USA Swimming by USADA resulting from adjudication of a doping control under the USADA  
436 Protocol for Olympic Movement Testing.  
437

438 303.4. In determining whether a doping offense has occurred and what sanctions should be imposed, USADA will look to  
439 the following rules:  
440

441 .1 The definition of 'doping' employed shall be the definition adopted by FINA effective at the time of testing. For  
442 purposes of illustration, that definition as of the adoption of this rule is as follows:  
443

444 FINA Rule DC 2.1: Doping offences are:  
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- 446 a) the finding of a prohibited substance (DC 3.1) within a competitor's body tissue or fluids;
- 447 b) the use or taking advantage of a prohibited method (DC 4.1);
- 448 c) admitting having taken advantage of, or having used, a prohibited substance or a prohibited method;
- 449 d) the failure or refusal of the competitor to submit to doping control;
- 450 e) assisting or encouraging others to use a prohibited substance or prohibited method, or admitting having  
451 assisted or so encouraged other to do so;
- 452 f) purchasing, trading, trafficking, distributing or handing out any prohibited substance.  
453

454 .2 The doping substances and practices, which are banned, shall be the FINA list of banned substances and practices  
455 effective at the time of testing.  
456

457 .3 The sanctions shall be those adopted by FINA effective at the time of testing. For purposes of illustration, the  
458 applicable sanctions as of the adoption of this rule are as follows:  
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460 FINA Rule DC 9.1: The sanctions for doping offences involving prohibited substances shall be:  
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462 FINA Rule DC 9.1.1 For a doping offence involving anabolic agents, diuretics, masking agents, peptide  
463 hormones, mimetics and analogues, and chemically or pharmacologically related substances:

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465 *First offence:* a minimum of four (4) years' suspension; plus a retroactive sanction involving cancellation of all  
466 results achieved in competitions during the period prior to the date the suspension takes effect and extending  
467 back to six (6) months before the collection of the positive sample, shall be imposed.

468  
469 *Second offence:* lifetime expulsion; plus a retroactive sanction involving cancellation of all results achieved in  
470 competitions during the competitors' career shall be imposed.

471  
472 FINA Rule DC 9.1.2 For a doping offence involving stimulants other than those set forth at DC 9.1.4, beta-  
473 blockers, beta-2 agonists and related substances:

474  
475 *First offence:* up to two (2) years' suspension.

476  
477 *Second Offence:* a minimum of two (2) years' suspension up to a lifetime expulsion.

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479 FINA Rule DC 9.1.3 For a doping offence involving narcotics:

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481 *First offence:* up to two (2) years' suspension.

482  
483 *Second offence:* up to lifetime expulsion.

484  
485 FINA Rule DC 9.1.4 For a doping offence involving ephedrine, pseudoephedrine, phenylpropanolamine, cathine,  
486 caffeine, cannabinoids, and all other prohibited substances not otherwise set forth in DC 9.1.1 through DC 9.1.3:

487  
488 *First offence:* up to three (3) month's suspension.

489  
490 *Second offence:* three (3) months' to two (2) years' suspension.

491  
492 *Third offence:* two (2) years' suspension to lifetime expulsion.

493  
494 FINA Rule DC 2.4 It is a competitor's duty to ensure that no prohibited substance enters or comes to be present in  
495 his/her body tissues or fluids. Competitors are responsible for any substance detected in samples given by them.

496  
497 4 The procedures and applicable standards employed with respect to the collection and transport of samples,  
498 notification of athletes, athletes' presence at testing, and specimen analysis shall be those employed by USADA for  
499 tests conducted by USADA and those set forth in FINA rules for tests conducted by FINA.

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R – 33 ACTION: Adopted
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504 Location: Page 107 ARTICLE 304 CODE OF CONDUCT

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506 304.3 The following shall be considered violations of the USA Swimming Code of Conduct:

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508 .5 Any sexual contact or advance or other inappropriate sexually oriented behavior or action directed towards an  
509 athlete by a coach, official, trainer, or other person who, in the context of swimming, is in a position of authority over  
510 that athlete;

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R – 34 ACTION: Adopted

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Location: Page 107 ARTICLE 304 CODE OF CONDUCT

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304.3.15 Any other act, conduct or omission, not provided for in 304.3.1 through 304.3.11 above, which is detrimental to the image or reputation of USA Swimming, a LSC or the sport of swimming.

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*R – 35 is a Package of SIX related items to be considered as one submittal and voted on as one.*

521

R – 35 ACTION: Adopted

522

523

Location: Page 107, 109ff ARTICLE 304 CODE OF CONDUCT

524

525

526

~~304.4, 304.5 and 304.6 [Strike in their entirety].~~

527

528

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Location: Page 109 ARTICLE 401. HEARINGS AND APPEALS

530

531

401.2 JURISDICTION OF LSC – In those matters involving only a member or members of one LSC, in regional or zone competition and any complaint alleging violations of Sections 304.3.12 through 304.3.15 inclusive of the USA Swimming Code of Conduct involving one or more members or prospective members of one LSC, and not brought by USA Swimming, shall be filed directly with the LSC. The procedures and the rules to be followed for hearing shall be as set forth under the LSC Board of Review provisions in the LSC By-Laws. Any appeal of the LSC's decision shall be made to the National Board of Review pursuant to Part Four of the USA Swimming Rules and Regulations.

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Location: Pages 109ff ARTICLE 401 HEARINGS AND APPEALS

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541

~~.1 Original Jurisdiction — In those matters in which athletes or other members of USA Swimming from more than one LSC are involved, or in matters involving such persons during a national or international event, an investigation and report of the facts shall be made to the Chairman of the National Board of Review (“Chairman”) and to the President of USA Swimming, who shall promptly initiate their review of the matter. If, in the opinion of the President or the Chairman, a hearing or further investigation is then warranted, the matter shall be submitted to a National Board of Review for hearing and decision.~~

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~~A — Where persons or entities from more than one LSC are involved, the investigation and report shall be made by the Executive Director or his/her designee.~~

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~~B — In those matters occurring during the course of a national event, the Vice President of Program Operations, or his/her designee, shall make the investigation and report.~~

551

552

~~C — In those matters occurring during the course of an international event, Olympic International Operations Committee Coordinator, or his/her designee, shall make the investigation and report.~~

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555

.1 Original Jurisdiction [new] -- The National Board of Review has original and exclusive jurisdiction to hear:

556

557

A any complaint where athletes or other members of USA Swimming from more than one LSC are involved; or

558

559

B any complaint involving athletes or other members during a national or international event; or

560

561 C any complaint under Section 304.3.1 through 304.3.11 against any member of USA Swimming, including any  
562 athlete, coach, manager, official member of any committee, or any person participating in any capacity  
563 whatsoever in the affairs of USA Swimming; or

564  
565 D any complaint initiated by USA Swimming, which shall be prosecuted by and may be appealed by the  
566 Executive Director.

567  
568 All complaints under A through D above shall set forth the allegations and be filed with the Executive Director  
569 of USA Swimming, who shall promptly initiate a review of the matter as set forth below:

570  
571 E in those matters occurring during the course of an international meet the Olympic International Operations  
572 Committee Coordinator or his/her designee shall make the investigation and report;

573  
574 F in those matters occurring during the course of a national or regional event the Vice President of Program  
575 Operations or his/her designee shall make the investigation and report;

576  
577 G in those matters involving an alleged violation of 304.3.10 by a coach a committee of three coaches appointed  
578 by the President of USA Swimming shall make the investigation and report;

579  
580 H in all other matters the Executive Director or his/her designee shall make the investigation and report.

581  
582 After the initial review is completed, the President of USA Swimming may decide to either (i) cause further investigation  
583 into the alleged violations to be conducted; (ii) seek to mediate the complaint; (iii) take interim action as set forth below.  
584 Either the President or Chairman of the National Board of Review may then decide to assign the matter to the National  
585 Board of Review for hearing or jointly decide to dismiss the complaint.

586  
587 After the initial review, depending on the severity of the alleged misconduct, the President may suspend the member who  
588 is the subject of the complaint pending the outcome of the National Board of Review hearing. If an interim suspension is  
589 ordered, the National Board of Review shall conduct its hearing and publish its results within twenty-one (21) days,  
590 except as provided herein. The member who is the subject of the complaint and the complainant shall be notified of such  
591 action when the matter is referred to the National Board of Review. The review process shall then proceed as outlined in  
592 401.6. Until such time as the National Board of Review makes a decision all proceedings shall be confidential and not  
593 subject to disclosure to anyone other than the parties to the review and witnesses.

594  
595 .2 Discretionary Jurisdiction – Remains in its entirety with no change.

596  
597 ~~.3 Exclusive Jurisdiction – Strike in its entirety.~~

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599  
600 Location: Pages 109-110 ARTICLE 401 HEARINGS AND APPEALS

601  
602 .3 Exclusive Jurisdiction – The National Board of Review has exclusive authority to hear: a) any complaint under  
603 Section 304.3.1 through 304.3.11 against any members of USA Swimming, including any athlete, coach, manager,  
604 official member of any committee, or any person participating in any capacity whatsoever in the affairs of USA  
605 Swimming, who: a) has been charged with, indicted for or convicted of or entered a plea of guilty or no contest to  
606 or being investigated for a criminal charge involving sexual misconduct, child abuse or a law specifically designed to  
607 protect minors; or b) is alleged to have violated the provisions of Section 303.4 or 303.5; or c) is the subject of and  
608 b) a ~~any~~ complaint initiated by USA Swimming. Any complain ... the USA Swimming Executive Director.

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611 Location: Page 112-113 ARTICLE 401 HEARINGS AND APPEALS

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.8 PROCEDURE FOR REVIEW

.1 Every appeal to the National Board of Review shall be instituted by a petition served upon the Executive Director and shall be accompanied by a ~~\$50~~ \$250 non-refundable filing fee payable to ~~the~~ USA Swimming. The petition for review shall set forth the grounds for appeal, citing factual and legal issues in as much detail as possible. ~~The filing fee shall be returned if the appeal is upheld, but forfeited if it is rejected, modified or abandoned.~~ The National Board of Review may, in cases where USA Swimming is not a party, assess costs against any party.

.4 [new] The President and the Chairman of the National Board of Review shall each have the power and authority, acting alone, to deny or reject an appeal when, in their respective judgment, the appeal is without merit or was not filed by a real party in interest.

R – 36 ACTION: Adopted/Amended

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Location: Page 117 Article 501.9 MEMBERS

*Amended: STRIKE 501.9.2 (directed to policy manual)*

.2 ~~The Executive Committee shall adopt a written code of conduct and ethical practices for USA Swimming which shall contain the requirement that Each Officer,; member of the Board of Directors, Executive Committee, other Committees; and each key employee of USA Swimming will execute annually agree in writing to abide by such code a Statement of Principles on Ethical Behavior and Conflict of Interest each year. Such code may be amended from time to time by the Executive Committee as it may deem advisable.~~

R – 38 ACTION: Adopted

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Location: Page 124 ARTICLE 507 COMMITTEES AND COORDINATORS

507.2 The House of Delegates shall elect the following at the time of the annual meeting of USA Swimming in even-numbered years: Domestic and Age Group Operations Coordinator, ~~and National Events Coordinator.~~ The Domestic and Age Group Operations Coordinator shall be directly responsible to the Program Development Vice-President. ~~The National Events Coordinator shall be directly responsible to the Program Operations Vice President.~~ Each shall be subject to the same limitations as to terms and successions as are officers of USA Swimming (Section 504.6).

507.9 The following shall be responsible to the ~~National Events Coordinator~~ Program Operations Vice President: Officials, Championship Coordination, Championship Evaluation, and Championship Time Standards committees, and the Reimbursement, National Records and STAR coordinators.

*Note: Remove all other references to “National Events Coordinator” and replace with Program Operations Vice-President.*

EFFECTIVE DATE: 9/16/2002

661

R – 39 ACTION: **Adopted**

662

663

Location: Page 124 ARTICLE 507 COMMITTEES AND COORDINATORS

664

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507.7 The following shall be responsible to the Program Development Vice President: ~~Sports Medicine~~, Outreach, and Adapted Swimming Committees.

667

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R – 40 ACTION: **Adopted/Amended**

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Location: Page 124 ARTICLE 507 COMMITTEES AND COORDINATORS

672

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507.8 The following shall be responsible to the Technical Vice President: Olympic International Operations Committee, ~~Coaches Education Committee~~, Senior Swimming Committee, Sports Medicine, Sports Science, and Steering Committee.

675

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R – 41 ACTION: **Adopted**

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Location: Pages 129-130 ARTICLE 514 AMENDMENTS

681

682

514.1 The Code may be altered ... Amateur (FINA):

683

684

~~1— In odd-numbered years:~~

685

A, B, C, D [*no change*]

686

687

~~2— In even-numbered years: A, B [*Delete in its entirety*]~~

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R – 42 ACTION: **Adopted/Amended**

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692

Location: Pages 143-157 PART SEVEN OPEN WATER SWIMMING

693

694

695

Location: Page 146 ARTICLE 704

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704.3.1

699

C If a race is stopped before the two-mile point, it may be restarted. If the race is stopped after the two-mile point, the race results will be determined by the time and place at the last completed ~~two~~ half-mile point.

700

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702

703

Location: Page 150 ARTICLE 706

704

705

706.2 THE REFEREE

706

707 .7 Shall ensure that all participants, coaches, and event support personnel are briefed about the course, safety  
708 procedures and any site specific rules applying to the event.

709

710 .78 For safety reasons, or other unforeseen circumstances shall have the authority to stop the race or to change the  
711 distance or race course;

712

713 Location: Page 154 ff ARTICLE 709

714

715 709.1 EVENTS--USA Swimming Open Water Individual and Team National Championships for the following events shall  
716 be awarded on a bid basis two years in advance by the USA Swimming Championship Coordinator in cooperation with  
717 the OWDC and OWIC at the Annual Meeting.

718

719 .3 Twenty-five Kilometer[may be contested in distances between 22-28 Kilometers (13.6-17.3 miles) and 28 Kilometers  
720 (17.3-28.3 Miles)]

721

722 Location: Page 156 709.6 ELIGIBILITY

723

724 ~~.3 When the National Championship is a National Team selection event for an A level international competition~~  
725 ~~(World Championships and the Olympic Games), the competition shall be closed to foreign athletes.~~

726 **Now .3**

727 .4 Qualifying times and/or qualifying criteria ~~shall~~ may be established by the Meet Director with the concurrence of the  
728 OWC, the Chairmen of the OWDC and the OWIC or their designees. Any swimmer entered in any USA Swimming  
729 National Championship 10 kilometers or longer ~~shall~~ may be required to show proof of having achieved a time of 18  
730 minutes or better in the 1500-meter freestyle for men or 19 minutes or better in the 1500-meter freestyle for women.  
731 ~~This can be verified by USA Swimming or a foreign official and does not have to be from a USA Swimming~~  
732 ~~sanctioned event.~~

733

734 709.7 INDIVIDUAL EVENTS

735

736 .1 Individual champions shall be determined and awarded in women's and men's competitions

737

738 *[Renumber subsequent paragraphs]*

739

740 709.9 NATIONAL CHAMPIONSHIP EVENT, CLUB CHAMPIONSHIP

741

742 1. Based on 16-point scoring of individual club members' performances, a club champion shall be determined and  
743 awarded to those clubs accumulating the highest point totals in each National Championship event in each of the  
744 following categories: Women's, Men's and Combined.

745

746 .2 To be eligible for the Combined Men's and Women's Championship, a club must have scored points in both the  
747 Men's and Women's events.

748

749 *[Renumber subsequent paragraphs]*

750

751

752 709.10 NATIONAL OVERALL CLUB CHAMPIONSHIP

753

754 1. Annually, one USA Swimming Open Water Club Champion shall be determined and awarded according to the  
755 following procedures.

756

757 .12 Standings in for the USA Swimming Open Water Overall Club Championships will be determined annually using 16-  
758 place scoring. The Ppoints earned by individual club members in the USA Swimming Open Water National  
759 Championships will shall be accumulated to determine the ranking in the overall club championship competition. To

760 be eligible, a club must have its members score points (top sixteen places) in at least two different distances in two  
761 separate USA Swimming National Open Water Championships.  
762

763 709.911 Awards  
764

765 .2 USA Swimming Team Event Championship medals shall be awarded to team event members as follows: 1<sup>st</sup> place,  
766 gold; ~~second-2nd~~ place, silver: and 3<sup>rd</sup> ~~through 8<sup>th</sup>~~ places, bronze.  
767

768 .4 Based on points earned in the Individual Championships USA Swimming Team Club Championship Awards shall be  
769 given to the top three ~~teams- clubs in the~~ men's, women's, and combined men's and women's competitions at all  
770 USA Swimming Open Water Championships.  
771

772 Location: Page 157-8 ARTICLE 711 POSTAL (INTERNET) MEETS  
773

774 711.2

775 .4 Using the standard Hy-Tek Conversions for free-style events, times achieved in a 25 meter pools also may be used  
776 in the awards-determination process.  
777

778 **Amendment: Substitute for .4**

779 **.4 Times achieved in 25 meter pools shall be converted by the meet director using the same conversion factors for all**  
780 **submitted 25 meter times**  
781

782 711.4

783 ~~.4 National Postal Meet Limitations Each participant shall not compete in more than 2 events at any one session and~~  
784 ~~shall not compete in more than five (5) events in the National Postal meet regardless of team or LSC affiliation.~~  
785

786 Location: Page 174 OPEN WATER RECORDS  
787

788 ***[DELETE ENTIRE PAGE 174 This is a duplication of the section found on page 15]***  
789

790

791

## 792 2001 HOUSEKEEPING ITEMS

793

794

795 PAGE	SECTION	REFERENCE	CHANGE TO:
796			
797 23	102.5.2	Line 4 - 102.17.6	102.16.6
798 27	102.9.2	Line 4 - 202.5.3	202.6.3
799 27	102.10.1	Line 3 - 102.16.6B	102.15.6B
800 30	102.13.4	Line 2 - 102.17	102.16
801 32	102.15.2	Line 4 - 102.17.6C	102.16.6C
802 33	102.16.1C	Line 2 - 102.17.3C	102.16.3C
803 36	102.16.4C(3)	Line 2 - 102.17.5	102.16.5
804 36	102.16.4D	Line 6 - 102.17.4C	102.16.4C
805 37	102.16.5E	Line 6 - page 37	page 38
806 37	102.16.5F	Line 8 - page 37	page 38
807 39	102.19	Line 4 - 102.17.3G	102.16.3F
808 40	102.23	Line 4 - 102.17	102.16
809 40	102.14..3	Line 6 - 102.17.4A	102.16.4A
810 58	202.4C	102.14.5G	102.14.5
811 65	205.8.1	Line 2 - 102.17.4C	102.16.4C
812 81	Appendix 2A	3 <sup>rd</sup> paragraph - Lines 6 & 7	

813 102.17.4A(d) 102.16.4A(d)

814

815

816

OTHER CORRECTIONS

817

818 Page 14 GLOSSARY

819

820 UNATTACHED - ~~an~~ a member who competes .....

821

822

823 Page 28 102.11 PROTESTS

824

825 1 Protests against the ~~judgement~~ judgment decisions of .....

826

827

828 Page 40 102.24 MEET RESULTS

829

830 .3 For each individual event .....If the meet is computerized and computerized and connected directly to or  
831 interfaced with the automatic timing system then all available ~~initial~~ initial distance times .....

832

833 Page 43 103.11 /M/ STARTING PLATFORMS

834

835 .1 Height

836

837 A Long Course and Short Course Meters: (no further change)

838

839 B Short Course Yards: (no further change)

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